



# Long-term rivaroxaban for the treatment of acute venous thromboembolism in patients with active cancer in a prospective multicenter trial

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**Background/Aims:** Limited data are available regarding the efficacy of rivaroxaban for the treatment of cancer-associated venous thromboembolism (VTE). The aim of this study was to evaluate the effectiveness and safety of rivaroxaban for the treatment of VTE in active cancer patients.

**Methods:** In this prospective, multicenter, open-label trial (NCT01989845), we enrolled patients with active cancer and objectively diagnosed lower-extremity deep vein thrombosis, pulmonary embolism (PE), or both from November 2013 to June 2016. Active cancer was defined as a histologically confirmed malignancy, which was diagnosed or treated within the previous 6 months, or as a recurrent/metastatic cancer. Patients received oral rivaroxaban 15 mg twice daily for first 3 weeks, followed by 20 mg once daily for 6 months. The primary outcome was the symptomatic recurrent VTE and the secondary outcomes included any recurrent VTE, major or clinically relevant non-major (CRNM) bleeding events, and overall mortality. All study outcomes were validated by blinded central adjudication.

**Results:** Of 124 patients enrolled, 110 (88.7%) had solid cancer, 93 (75.0%) had metastatic disease, and 110 (88.7%) were receiving chemotherapy or radiotherapy. During the 6-month study period, seven patients experienced symptomatic recurrent VTE (cumulative incidence, 5.9%), and two patients experienced incidental recurrent PE (cumulative incidence of any recurrent VTE, 7.6%). Major bleeding events occurred in six patients (cumulative incidence, 5.3%) and CRNM bleeding events in 11 patients (cumulative incidence, 10.2%). Twenty-eight patients (overall mortality, 24.0%) died.

**Conclusions:** Rivaroxaban is effective and safe for the treatment of VTE in patients with active cancer.

**Keywords:** Neoplasms; Recurrence; Rivaroxaban; Venous thromboembolism; Therapeutics

## INTRODUCTION

Venous thromboembolism (VTE), which typically includes lower-extremity deep vein thrombosis (DVT) and pulmonary embolism (PE), is a common complication that can be associated with considerable morbidity and mortality in cancer patients [1]. Based on the results of large randomized trials in patients with cancer [2,3], the current international practice guidelines recommend the long-term use of low-molecular-weight heparin (LMWH) for the treatment of VTE in cancer patients [4-7].

The recent introduction of direct oral anticoagulants (DOACs) that directly inhibit clotting factor Xa or thrombin is rapidly replacing the use of LMWH and vitamin K antagonists in the treatment of VTE [4]. DOACs are attractive drugs in patients with VTE because they can be administered orally with fixed doses, they have few drug and food interactions, and they do not require routine laboratory monitoring [8]. Despite the practical advantages of DOACs, these agents have not been recommended for treatment of cancer-associated VTE in the international guidelines due to insufficient evidence [4-7]. Although the pivotal phase III trials testing DOACs included cancer patients, the results of these trials [9-13] and subsequent subgroup analysis [14-17] have been underpowered by the small number of cancer patients and the heterogeneity in definition of cancer for the trials [18]. Indeed, the cancer patients included in the pivotal trials usually had completed the cancer treatment before enrollment or had a previous history of cancer and eventually they were not a true representative of active cancer patients [19]. Thus, there is considerable debate regarding the use of DOACs in the treatment of VTE in patients with active cancer. Therefore, we conducted this study (KVTE-1301) to evaluate the effectiveness and safety of long-term rivaroxaban treatment in patients with active cancer and VTE.

## METHODS

### Study population and eligibility criteria

The present prospective, multicenter, open-label study was designed to investigate the effectiveness and safety of oral rivaroxaban treatment in consecutive patients with active cancer and objectively diagnosed lower-ex-

tremity DVT, PE, or both, who had a life expectancy of  $\geq 3$  months. The study was conducted in nine institutions of the Thrombosis Working Party of the Korean Society of Hematology and Venous Thrombosis Division of the Korean Society of Thrombosis and Hemostasis. Active cancer was defined as a histologically confirmed solid cancer or hematologic malignancy (except for basal cell or squamous cell carcinoma of the skin), which were diagnosed or treated within the previous 6 months, or as a recurrent/metastatic cancer. PE was diagnosed based on the presence of non-enhancing filling defects in at least one subsegmental pulmonary artery on computed tomography (CT) pulmonary angiography, or high probability mismatched perfusion defect on ventilation/perfusion lung scan. DVT was diagnosed based on the non-compressibility on ultrasonography, or intraluminal filling defects in lower-extremity deep vein on CT venography. Incidental PE, which was defined when PE was detected by imaging studies that were performed for reasons other than suspected PE, was considered if the equivalent diagnostic criteria for symptomatic PE were met.

Patients were excluded if they had isolated asymptomatic distal DVT, splanchnic vein thrombosis, or catheter-associated thrombosis; an Eastern Cooperative Oncology Group (ECOG) performance status 3 or 4; a creatinine clearance of  $< 30$  mL/min/1.73 m<sup>2</sup>; history of total gastrectomy; confirmation of VTE or therapeutic anticoagulation for  $> 120$  hours prior to the first dose of rivaroxaban after enrollment; cardiogenic shock; treatment of qualifying VTE with thrombolysis, caval filter, or thrombectomy; uncontrolled brain metastasis; cancer treatment with bevacizumab or other drugs with potent anti-angiogenic property; active bleeding or history of bleeding within 4 weeks before enrollment; a high risk of serious bleeding; a platelet count  $< 75,000/\mu\text{L}$ ; pregnancy or breast feeding.

### Study treatment

Rivaroxaban should be administered within 120 hours after confirmation of the VTE or therapeutic anticoagulation initiation. Patients were treated with oral rivaroxaban 15 mg twice daily for the first 3 weeks, followed by 20 mg once daily for 6 months. Rivaroxaban was temporarily withheld if patients had a platelet count of  $< 50,000/\mu\text{L}$ , bleeding events, or invasive procedures. Dose reduction was not permitted, unless patients experi-

enced major bleeding events.

### Study outcomes and follow-up

The primary outcome of this study was to assess the symptomatic recurrent VTE during the 6-month period, which included fatal or symptomatic non-fatal PE or DVT. Fatal PE was defined when patients were confirmed by objective diagnostic testing or autopsy, or when a specific cause of death could not be documented and PE could not be ruled out. Recurrent non-fatal PE was defined as a new intraluminal filling defect on CT pulmonary angiography, or as the presence of new or clearly enlarged area of mismatched perfusion defect on ventilation/perfusion lung scan. Recurrent DVT was determined if new thrombus was clearly identified by non-compressibility on ultrasonography or new intraluminal filling defect on venography.

The secondary outcomes included any (symptomatic or incidental) recurrent VTE, bleeding events (major bleeding or clinically relevant non-major [CRNM] bleeding), overall mortality, and validation of the Ottawa score [20]. The severity of bleeding events was classified based on the criteria of the International Society on Thrombosis and Haemostasis [21]. All recurrent VTE episodes, bleeding events, and deaths were reviewed in the independent central adjudication committee. They confirmed the presence of recurrent VTE, graded bleeding event severities, and determined the cause of death.

Patients had regular follow-up visit on days 7, 21 and then every 28 days until day 180, consent withdrawal, lost to follow-up or death. During every visit, symptoms and signs of recurrent VTE and bleeding events were thoroughly investigated by treating physicians. If patients developed symptoms and signs of recurrent VTE, appropriate imaging methods were implemented to objectively diagnose VTE. Telephone contacts were made in case patients did not visit the hospital on the scheduled day.

### Statistical analysis

Recurrent VTE rates for active cancer patients treated with LMWH were reported previously to be 9% [2]. Sample size was calculated using one-sample proportion test to be sufficient to reject if expected proportion of recurrence was higher than 9% with a two-sided 95% confidence interval (CI) of 0.107. Planned accrual was for

110 patients. Assuming a 15% dropout rate, the total accrual needed to be 127 patients. The recurrent VTE and bleeding events were calculated using a cumulative incidence method that incorporated death from any cause as competing risk [22]. Mortality was estimated using the Kaplan-Meier method. All analyses were conducted using SAS Enterprise Guide version 6.4 (SAS Institute Inc., Cary, NC, USA).

### Study oversight

The study was conducted in accordance with the Good Clinical Practice of the International Conference on Harmonization Guidelines and the Declaration of Helsinki. The investigators were responsible for the study design and Bayer Korea provided an independent research fund to conduct the study. The study protocol was approved by the Institutional Review Board (B-1308/216-005) of each participating institution and registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT01989845). All patients provided written informed consent.

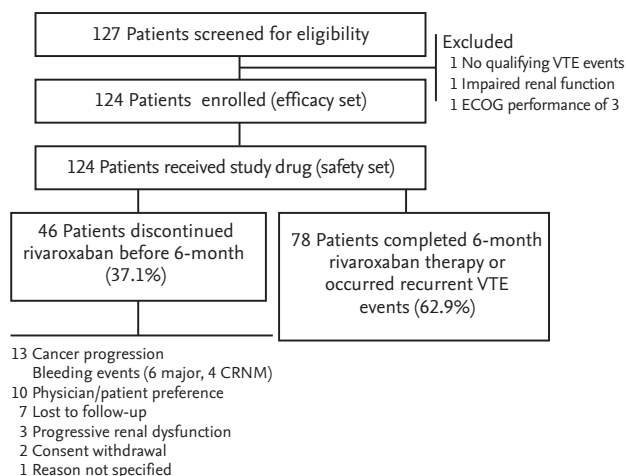
## RESULTS

### Patient cohort and anticoagulation treatment

A total of 127 patients were screened for this study from November 2013 to June 2016. Three patients were excluded because they did not meet the eligibility criteria: one patient did not have qualifying thrombotic episodes, one patient had a creatinine clearance of  $< 30$  mL/min/1.73 m<sup>2</sup>, and one patient had ECOG performance status 3. Thus, 124 patients were enrolled and the data for these were analyzed (Fig. 1).

Baseline clinical and thrombotic event characteristics of the 124 patients are described in Table 1. Of these, 88.7% of patients had solid cancers, 75.0% had metastatic disease, and 88.7% were receiving chemotherapy or radiotherapy. The most common primary tumor sites were lung, colorectum, pancreaticobiliary tract, and stomach. The qualifying VTE event was PE  $\pm$  DVT in 82.3% of the patients and presented as symptomatic in 62.9% of the patients.

Rivaroxaban was administered for a median duration of 171 days (range, 1 to 208) and temporarily interrupted in 32 patients (25.8%) for a median of 8 days (range, 1 to 37).



**Figure 1.** Flow-chart of the study. VTE, venous thromboembolism; ECOG, Eastern Cooperative Oncology Group; CRNM, clinically relevant non-major.

### Recurrent VTE episodes

Seven patients experienced symptomatic recurrent VTE episodes: fatal PE in four patients and symptomatic non-fatal PE in three patients. The cumulative incidence of symptomatic recurrent VTE was 5.9% (95% CI, 2.6 to 11.1) at 6 months (Fig. 2A). Two patients experienced incidental recurrent PE. Thus, the cumulative incidence of any recurrent VTE was 7.6% (95% CI, 3.7 to 13.2) (Fig. 2B). Comparisons of cumulative risk of recurrent VTE according to the symptom status at the time of qualifying VTE diagnosis showed no significant differences between symptomatic and incidental VTE events (symptomatic recurrence, 8.1% vs. 2.2%, respectively,  $p = 0.184$ ; any recurrence, 9.4% vs. 4.5%, respectively,  $p = 0.300$ ). The Ottawa score did not predict the recurrence of symptomatic or any VTE events (Fig. 3). Clinical and thrombotic characteristics of patients with symptomatic recurrent VTE were summarized in Table 2.

### Bleeding events and mortality

Six and 11 patients experienced major and CRNM bleeding events, respectively. The most common site of major bleeding was gastrointestinal in four patients (66.7%), whereas one patient experience major bleeding in the lung and one showed intracranial bleeding. Unresected primary tumor in the gastrointestinal tract was the source of major bleeding in two patients (33.3%). The cumulative incidence of major and CRNM bleeding

events was 5.3% (95% CI, 2.1 to 10.5) and 10.2% (95% CI, 5.3 to 16.9), respectively (Fig. 4). All six patients experienced major bleeding events didn't resume rivaroxaban treatment.

Overall, 28 patients died; the cause of death was cancer progression in 17 patients (60.7%), fatal PE in four patients (14.3%), infection in four patients (14.3%), and fatal bleeding events in three patients (10.7%). The overall mortality was 24.0% (95% CI, 15.8 to 31.4) at 6 months (Fig. 5). The mortality rate of patients with symptomatic VTE events was not significantly different compared to that of patients with incidental VTE events (23.4% vs. 24.7%, respectively;  $p = 0.998$ ).

## DISCUSSION

Our study provides several valuable insights into the incorporation of DOACs as a therapeutic option for cancer-associated VTE. Our results show that rivaroxaban is effective in patients with active cancer and lower-extremity DVT, PE, or both. In 124 patients, the majority of whom had metastatic disease and were under anti-cancer treatments, we observed a cumulative risk of symptomatic recurrence of 5.9% and a rate of any recurrence of 7.6%. The risk of recurrence observed in this study was promising, as compared with approximately 7% to 9% observed in active cancer patients treated with LMWH in the CLOT and CATCH trials (Table 3) [2,3]. In contrast, the results of meta-analysis [23] and subgroup analysis [14-17] regarding cancer patients enrolled in the pivotal DOAC trials showed that the recurrent VTE rates were approximately 3% to 5%. Although the recurrence rates of cancer patients in the DOAC trials were lower than those observed in the LMWH trials, it is difficult to be generalized in favor of the use of DOACs for treatment of cancer-associated VTE due to the different definition of cancer patients between the DOAC and LMWH trials. Both CLOT and CATCH trials included patients with recently diagnosed or treated cancer, or with recurrent/metastatic cancer in palliative setting [2,3]. In contrast, a substantial number of cancer patients in the DOAC trials just had a history of cancer at study entry. Trials for apixaban [12] and edoxaban [13], in particular, excluded patients requiring long-term anticoagulation therapy, which indicated patients with recurrent/meta-

**Table 1. Baseline clinical and thrombotic event characteristics**

Characteristic	Patients (n = 124)
Age, yr	67 (28–85)
Sex	
Male	69 (55.6)
Female	55 (44.4)
Primary site of cancer	
Lung	30 (24.2)
Colorectum	24 (19.4)
Pancreaticobiliary tract	16 (12.9)
Stomach	16 (12.9)
Hematologic malignancy	14 (11.3)
Gynecologic system	9 (7.3)
Breast	7 (5.6)
Genitourinary tract	4 (3.2)
Other <sup>a</sup>	4 (3.2)
Extent of disease <sup>b</sup>	
Localized or locoregional disease	17 (13.7)
Metastatic disease	93 (75.0)
Cancer treatment	
Yes	110 (88.7)
Systemic anti-cancer treatments <sup>c</sup>	109 (87.9)
Radiotherapy <sup>d</sup>	6 (4.8)
Recent major surgery within 6 months	23 (18.5)
ECOG performance status	
0 or 1	97 (78.2)
2	27 (21.8)
The Ottawa score <sup>e</sup>	
Low risk ( $\leq 0$ )	53 (42.7)
High risk ( $> 0$ )	71 (57.3)
Previous history of VTE	6 (4.8)
Body weight, kg	61.2 $\pm$ 10.9
Body mass index	23.9 $\pm$ 3.9
Creatinine clearance <sup>f</sup> , mL/min/1.73 m <sup>2</sup>	
$\geq 30.0$ and $< 50.0$	87 (14.5)
$\geq 50.0$ and $< 80.0$	67 (54.0)
$\geq 80.0$	39 (31.5)
Qualifying VTE events	

**Table 1. Continued**

Characteristic	Patients (n = 124)
PE with or without lower-extremity DVT <sup>†</sup>	102 (82.3)
Lower-extremity DVT alone	22 (17.7)
Symptom status of qualifying VTE events	
Symptomatic	78 (62.9)
Incidental	46 (37.1)
Largest vessel involved	
Pulmonary artery	102 (100.0)
Main	16 (15.7)
Lobar	41 (40.2)
Segmental	43 (42.2)
Subsegmental	2 (2.0)
Lower extremity deep vein	46 (100.0)
Proximal vein	41 (89.1)
Distal vein <sup>g</sup>	5 (10.9)

Values are presented as mean (range), number (%), or mean  $\pm$  SD.

ECOG, Eastern Cooperative Oncology Group; VTE, venous thromboembolism; DVT, deep vein thrombosis.

<sup>a</sup>Other cancers include nasopharyngeal cancer (n = 2), head and neck squamous cell carcinoma (n = 1), and metastasis of unknown primary site (n = 1).

<sup>b</sup>Patients with hematologic malignancy were not included.

<sup>c</sup>Systemic anti-cancer treatments included cytotoxic, hormonal, and targeted therapy.

<sup>d</sup>Five patients were receiving concurrent chemoradiotherapy.

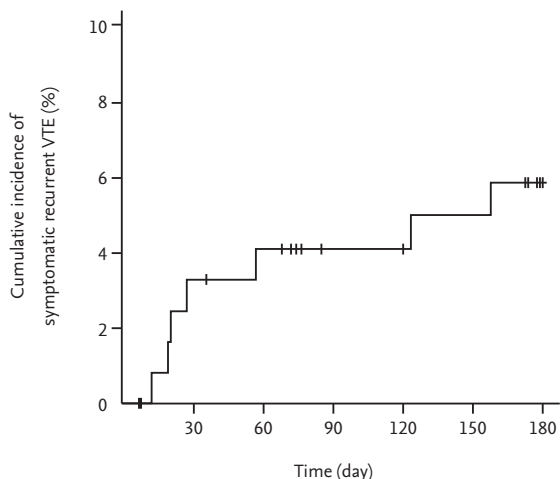
<sup>e</sup>The Ottawa score that assigned a score of  $-2$  for stage I cancer,  $-1$  for breast cancer, and  $+1$  for lung cancer, female sex, and previous history of VTE categorized low risk group for recurrent VTE when the sum of score was  $\leq 0$  and high risk group if the sum of score was  $> 0$ .

<sup>f</sup>Creatinine clearance was calculated by the Cockcroft and Gault formulas.

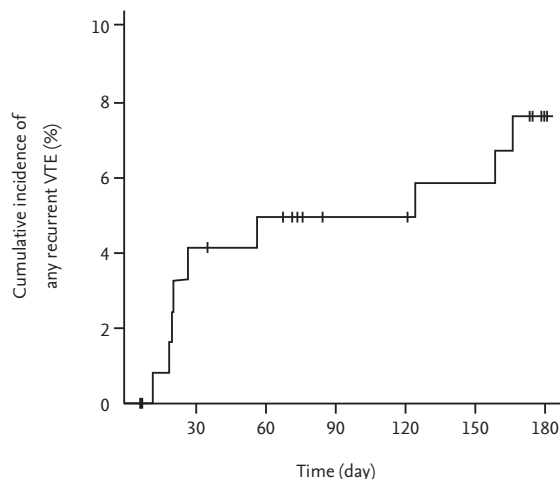
<sup>g</sup>One patient who had been receiving adjuvant chemotherapy for resected lung cancer had symptomatic isolated distal DVT, and another four patients with distal DVT had concurrent PE.

static cancer were not eligible. Therefore, considering that no studies have specifically addressed the treatment of VTE using DOACs in patients with active cancer, it is noteworthy that our study tested rivaroxaban using the same definition of active cancer as in the CLOT and CATCH trials.

Because the Korean population was generally consid-

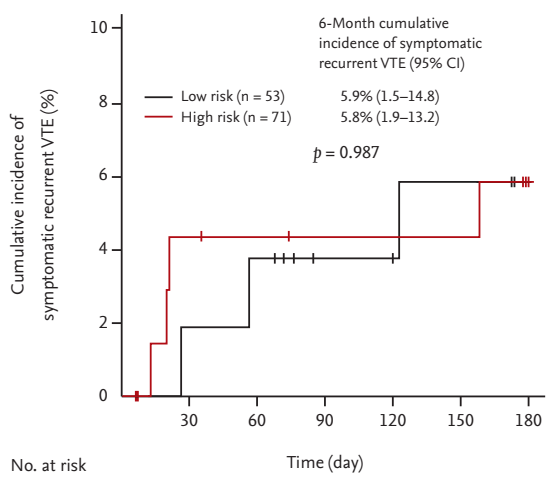


**A** No. at risk 124 116 108 102 98 89 80

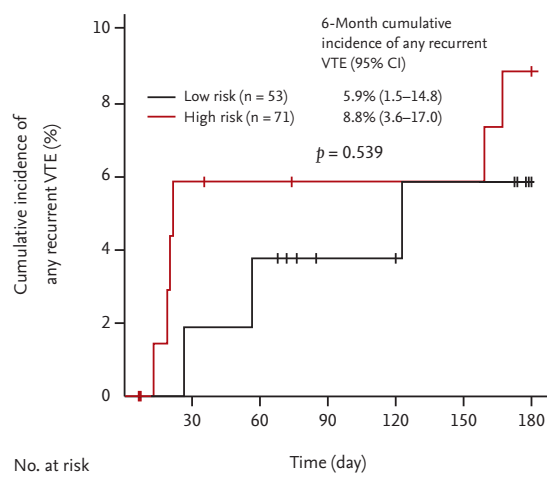


**B** No. at risk 124 115 108 102 98 89 80

**Figure 2.** Cumulative incidence of (A) symptomatic and (B) any recurrent venous thromboembolism (VTE).



**A** No. at risk  
 Low risk 53 51 48 43 40 36 31  
 High risk 71 65 60 59 58 53 49



**B** No. at risk  
 Low risk 53 51 48 43 40 36 31  
 High risk 71 64 60 59 58 53 49

**Figure 3.** Cumulative incidence of (A) symptomatic and (B) any recurrent venous thromboembolism (VTE) according to the risk stratification by Ottawa score. CI, confidence interval.

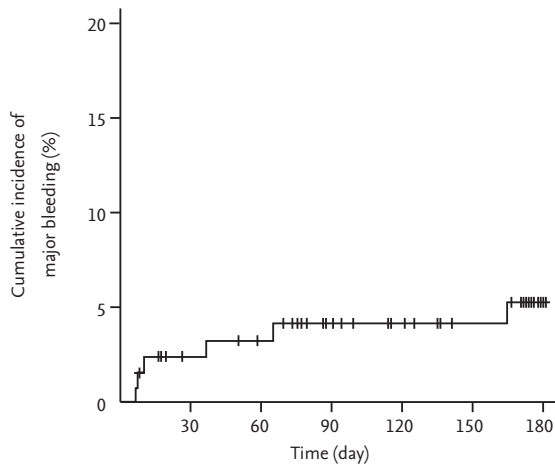
ered to have lower risk of VTE [24] even in surgical oncologic patients [25], as compared with Caucasian populations, our data should be interpreted with caution. However, there have been recent data suggesting that the tumor activity of advanced stage cancer can overcome the ethnic protective effect in the Korean population regarding thrombosis and recurrent thrombosis [26,27]. In our study, the mean age was 67 years (for CLOT, 62 years; for CATCH, 60 years), 75% of the patients had metastatic disease (for CLOT, 67%; for CATCH, 55%),

and approximately 89% of the patients were under active anti-cancer treatments (for CLOT, 78%; for CATCH, 53%) (Table 3). Despite the ethnic influence on recurrent thrombosis, these findings may reflect the significant risk of recurrence in our study population. Our study shared a considerable proportion of eligibility criteria with the CLOT and CATCH trials. However, unlike these trials, in which only symptomatic VTE was eligible, our study included incidental VTE as qualifying thrombotic events. It is notable that patients with inci-

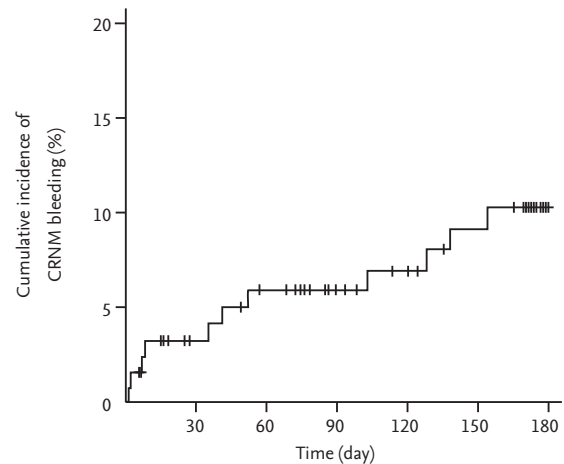
**Table 2. Clinical and thrombotic characteristics of patients with symptomatic recurrent VTE (n = 7)**

Characteristic	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	Case 7
Age	54	65	81	71	64	75	81
Sex	Male	Male	Male	Female	Male	Male	Male
Primary site of cancer	Pancreas	Pancreas	Lung	Lung	Lung	Lung	Colon
Extent of disease	Metastatic	Metastatic	Metastatic	Metastatic	Metastatic	Metastatic	Metastatic
Ottawa score	Low risk	Low risk	High risk	High risk	High risk	High risk	Low risk
Qualifying VTE events and involved vessels	Rt. lobar PE and Rt. proximal DVT	Both main PE and Lt. proximal DVT	Rt. lobar PE	Lt. lower segmental PE	Both main PE	Rt. lobar PE	Rt. proximal DVT
Symptom status of qualifying VTE	Symptomatic	Incidental	Symptomatic	Symptomatic	Symptomatic	Symptomatic	Symptomatic
Fatal or non-fatal recurrent PE events	Non-fatal both lower segmental PE	Non-fatal both main and segmental PE	Fatal PE	Fatal PE	Fatal PE	Fatal PE	Non-fatal Rt. lobar PE
Cancer treatment within 4 weeks at the time of recurrent VTE diagnosis	Chemotherapy	Chemotherapy	Chemotherapy	Chemotherapy	Chemotherapy	Chemotherapy	Chemotherapy
Disease status	Stable disease	Stable disease	Progressive disease	Stable disease	Progressive disease	Progressive disease	Progressive disease

VTE, venous thromboembolism; Rt., right; PE, pulmonary embolism; DVT, deep vein thrombosis; Lt., left.



**A** No. at risk 124 111 103 92 85 77 55



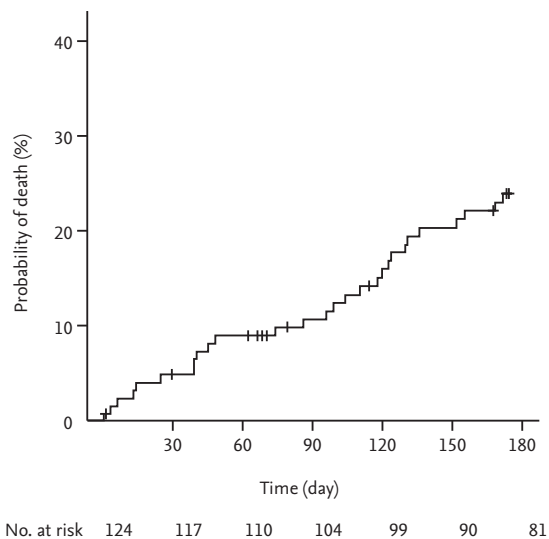
**B** No. at risk 124 108 98 87 80 72 50

**Figure 4.** Cumulative incidence of (A) major and (B) clinically relevant non-major (CRNM) bleeding events.

dental VTE events had similar rates of recurrent VTE and mortality in our study, as compared to those with symptomatic events, which was consistent with previous observations in cancer patients [28,29]. Thus, our study

prospectively confirmed the impact of the incidental VTE on recurrent VTE and mortality in cancer-associated VTE.

The rate of major bleeding observed in this study was



**Figure 5.** Overall mortality in the study population.

**Table 3. Comparison of baseline characteristics, recurrent VTE, and bleeding events in the KVTE 1301 study with CLOT and CATCH trials**

Characteristic	CLOT (n = 676)	CATCH (n = 900)	KVTE 1301 (n = 124)
Asian population, %	0	44	100
Mean age, yr	62	60	67
History of VTE, %	11.1	6.3	4.8
ECOG performance status 2, %	35.5	23.2	21.8
Metastatic disease, %	67.3	54.7	75.0
Anti-cancer treatment, %	77.7	52.9	88.7
Cumulative 6-month mortality, %	39.3	32.0	23.8
Outcomes during 6 months	Dalteparin (n = 338)	Tinzaparin (n = 449)	Rivaroxaban (n = 124)
Symptomatic recurrent VTE rate, %	9	7.2	5.9
Major bleeding, %	6	2.7	5.3
CRNM bleeding, %	14 <sup>a</sup>	10.9	10.2

VTE, venous thromboembolism; KVTE, Korean venous thromboembolism; CLOT, randomized Comparison of LMWH versus Oral anticoagulant therapy for the prevention of recurrent VTE in patients with Cancer; CATCH, Comparison of Acute Treatments in Cancer Haemostasis; ECOG, Eastern Cooperative Oncology Group; CRNM, clinically relevant non-major.

<sup>a</sup>Indicates the proportion of patients with any bleedings reported in the CLOT trial.

5.3% for up to 6 months, slightly higher than anticipated. Although the CLOT trial reported major bleeding rate of 6% [2], the rate of major bleeding in the recent studies regarding DOACs was approximately 2% to 3% in cancer patients [14-17], which was similar to those found in LMWH group of the CATCH trial (2.7%) [3]. This slightly higher than expected major bleeding rate could be partially explained by lower body weight or body mass index of our population, as compared with those in the CATCH trial [3] and the cancer subgroup of DOAC trials [14,15,17]. In addition, the fact that the majority of the study population was receiving anti-cancer treatments may raise the possibility that rivaroxaban activity is altered through interactions with chemotherapeutic agents and subsequently increases the risk of major bleeding. Although our study excluded patients treated with bevacizumab or other drugs with anti-angiogenic properties (i.e., sunitinib, sorafenib, imatinib, nilotinib, dasatinib, ramucirumab, etc.), we allowed the use of some chemotherapeutic or hormonal agents that potentially inhibit or induce P-glycoprotein transport or the CYP3A4 pathway (i.e., doxorubicin, vinblastine, dexamethasone, tamoxifen, etc.) [8]. We could not analyze the impact of drug-drug interactions on bleeding events because only a small number of patients received these drugs. However, tamoxifen is known to increase the plasma level of rivaroxaban, but none of the patients treated with tamoxifen and rivaroxaban in this study experienced bleeding events (data not shown). Furthermore, the sum of both major and CRNM bleeding events (15.5% for 6 months) was similar to those reported in the cancer subgroup of DOAC trials [14-17] and the CATCH trial [3]. These findings suggested that rivaroxaban did not universally increase the bleeding risk in cancer patients receiving active anti-cancer treatments. Thus, despite possible interactions between rivaroxaban and some anti-cancer drugs, we postulated that the impact of drug interactions on bleeding events may be minimal if agents with anti-angiogenic properties were not concomitantly used.

Interestingly, the gastrointestinal tract was the main site of major bleeding in our study population and one-third of major bleeding events were located at the site of unresected primary tumor, which was consistent with the results of meta-analysis in unselected populations [30] and cancer patients [31] with VTE and treated with



DOACs. The mechanisms of gastrointestinal bleeding observed from rivaroxaban therapy may be explained by the local effect of unabsorbed anticoagulant in the lumen of the gastrointestinal tract and consequent potentiation in vulnerable lesions in combination with systemic drug effect [32]. Therefore, the use of DOACs may lead to increased risk of gastrointestinal bleeding in patients with vulnerable mucosal lesion on unresected primary tumors of the gastrointestinal tract and need to be avoided in this group of patients. However, because the use of any anticoagulants in these patients may be associated with increased risk of bleeding, it is difficult to determine the risk of gastrointestinal bleeding during the treatment with other anticoagulants (i.e., LMWH). Considering the theoretical absence of local anticoagulant effects of LMWH, it is necessary to evaluate the unresected primary gastrointestinal tumor as one of the factors for optimal selection of long-term anticoagulant treatment in patients with cancer-associated VTE.

Our study has several limitations. First, our study permitted the enrollment within 120 hours after confirmation of the qualifying VTE event or the start of anticoagulation therapy, which was longer than the CLOT (48 hours) and CATCH (72 hours) trials. Because the risk of recurrent VTE was highest during the first month of VTE episode [33], it is possible that some patients with recurrence at first week of qualifying VTE episode were not considered as candidates for this study, indicating potentials of selection bias. Our study enrolled patients with incidental thrombosis as well as with symptomatic VTE and the date of objective diagnosis in patients with incidental thrombosis was the date of radiologic studies for qualifying VTE episode. There is a time gap between radiologic studies and detection of thrombosis in asymptomatic patients in real clinical practice. Because of this, we allowed an additional 24- to 48-hour time period between objective diagnosis and study enrollment. However, despite this limitation, our study included the highest proportion of metastatic cancer patients with active anti-cancer treatments among studies ever conducted in this setting. Second, our findings may not be applicable to other ethnicities because of the single ethnicity (i.e., Korean) of our study participants. Third, although the optimal duration of rivaroxaban treatment in patients with cancer-associated VTE is be-

yond the scope of this study, actual rates of recurrent VTE may have been underestimated owing to 6 months of treatment duration. There is a data showing that the risk of recurrent VTE is still clinically significant beyond 6 months of treatment [34]. In addition, edoxaban recently showed continued benefit of treatment beyond 6 months [35]. Therefore, rivaroxaban treatment should not be discontinued just because patients completed the 6-month period treatment. Fourth, our study contained a relatively small sample size with a wide range of CI because the goal of our study was to detect a promising, but not definitive benefit. Nevertheless, our results are sufficient to warrant assessment of rivaroxaban in a phase III trial because cancer-associated VTE patients consist a highly specific population with enormous unmet needs for treatment.

In conclusion, our results show that single targeted inhibition of coagulation system using rivaroxaban is effective and safe in patients with active cancer and symptomatic or incidental lower-extremity DVT, PE, or both. Further evaluation of our findings in phase III trials is under way.

### KEY MESSAGE

1. Cumulative incidence of symptomatic recurrent venous thromboembolism (VTE) and major bleeding events were 5.9% and 5.3%, respectively.
2. Oral administration of rivaroxaban offers a simple and convenient option to treat cancer-associated VTE

### Conflict of interest

This study was supported by an independent research fund from Bayer Korea.

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